**<Query3>**

**From:** Entity2-OP2

**Sent:** Friday, February 16, 2025 12:34 PM

**To:** Entity1-MO2; System3-IT

**Cc:** Entity2-REG1

**Subject:** FW: System3 GTR Exceptions - Real-Time - 15-Feb-2025 06:01 UTC

Hi System3-IT,

Could you please link the attached outstanding (No owner) to its respective desk name.

Hello Entity1-MO2,

Please find attached real time exceptions currently pending in System3 Exception Manager.

Could you please ensure they are cleared so that the RT-PET’s could be reported to GTR

Please resolve the below exceptions soon. We had to report these within half an hour and the SLA has already been breached.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Desk Name | ErrorOwner | TicketID | Trade Update Time (UTC) | USI | BookID | LegalEntity | Counterparty | RuleName | Error Code |
| Entity1-MO2@ourcompany.com | Middle Office | 03674 Z1.1 | 2/15/2025 7:47 | 'ABC000001TTRLABMJPFNAL4LPBJNDVRDEE5UXAS0 | BWSR444 | Entity1 | Entity1\_KG02\_S | AlgoIndexTotalReturn | MissingStaticData\_ System3Lists |
| Entity1-MO2@ourcompany.com | Middle Office | 03674 Z1 | 2/15/2025 7:47 | 'ABC000001TTRMT2HUHWCPD6SN3XVBPAATJUCAWSF | BWSR444 | Entity1 | ABC Vtx 100000 | AlgoIndexTotalReturn | MissingStaticData\_ System3Lists |
| Entity1-MO2@ourcompany.com | Middle Office | 03674 Z1.2 | 2/15/2025 7:47 | 'ABC000001TTRWQPVTX26553RT3G6SA1HKVR87AS6 | BWSR444 | Entity1 | Entity1\_KG02\_S | AlgoIndexTotalReturn | MissingStaticData\_ System3Lists |

Regards,

Entity2-OP2

Regulatory Reporting

**<Answer3>**

|  |  |
| --- | --- |
| **件名** | **RE: System3 GTR Exceptions - Real-Time - 15-Feb-2025 06:01 UTC** |
| **差出人** | Entity1-MO2 |
| **宛先** | System3-IT |
| **CC** | Entity2-REG1; Entity1-MO2 |
| **送信日時** | 2025年2月19日 23:45 |
|  |  |

Hi System3-IT,,

Could you please help to set up below in System3 List.

I think there should be control to hold trader from saving ticket if the List is not updated? Please see attached for the action taken in past example.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **IndexNameCcyConc** | **IndexName** | **IndexCurrency** | **IndexSource** | **DSBIndexName** | **871mInScope?** |
|  | **JPY1y20yATMPayersSwaptionReplication** | JPY | **ABCFSRA1** |  |  |

Regards,

Entity1-MO2